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PTO/SB/21 (05-03) (AW 07-03)  
Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/997,S37
	Filing Date	November 29, 2001
	First Named Inventor	Hidetoshi Takeda
	Art Unit	2665
	Examiner Name	P. B. Nguyen
Total Number of Pages In This Submission 7 + 1 reference	Attorney Docket No.	MAT-S340US3

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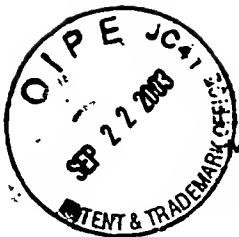
ENCLOSURES (Check all that apply)		
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<b>Remarks:</b>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual	Lawrence E. Ashery	Registration No. (Attorney/Agent)	34,515
Signature			
Date	September 12, 2003		

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			September 12, 2003
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MAT-5340US3

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/997,537  
Applicant: Hidetoshi Takeda et al.  
Filed: November 29, 2001  
Title: DATA TRANSMITTING APPARATUS, DATA  
RECEIVING APPARATUS AND DATA  
TRANSMISSION CONTROL APPARATUS  
TC/A.U.: 2665  
Examiner: P. B. Nguyen  
Confirmation: 7626  
Docket No.: MAT-5340US3

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**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
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Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

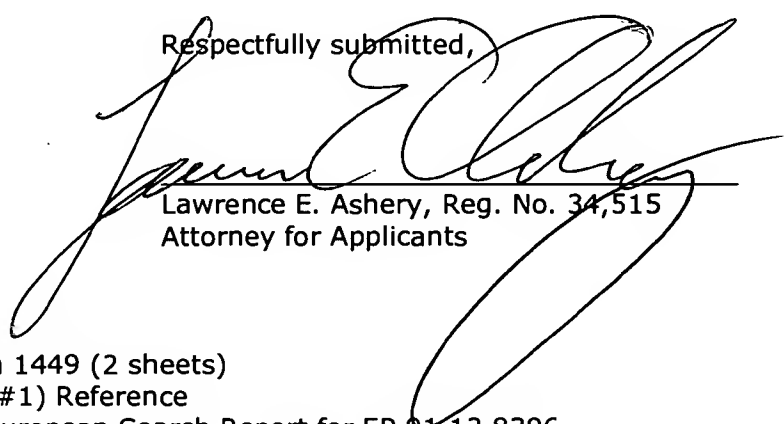
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference US 4 771 391 A (the second reference cited in the European Search Report) was previously cited in an Information Disclosure Statement filed July 14, 2003.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

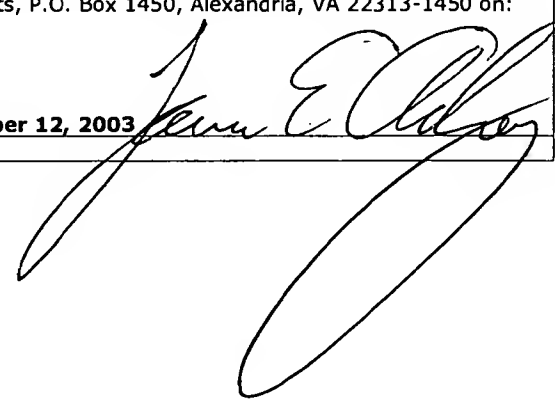
  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp

Enclosures: PTO Form 1449 (2 sheets)  
Copy of (#1) Reference  
Copy of European Search Report for EP 01 12 8396

Dated: September 12, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

<p>The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. <b>18-0350</b> of any fees associated with this communication.</p>	<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:</p> <p> <b>September 12, 2003</b></p>
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